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DM-10.2003

ATTORNEY DOCKET NO: KCX-730 (19566)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
IVAN B. SCHRODT ET AL.
Serial No: 10/748,691

) Group Art Unit: 3027

) Examiner: UNKNOWN

Filed: DECEMBER 30, 2003

) Our Account No: 04-1403

Confirmation No: 1999

) Customer No: 22827

Title: RIFD SYSTEM AND METHOD FOR MANAGING
OUT-OF-STOCK ITEMS

Commissioner for Patents
U.S. Patent and Trademark Office
Post Office Box 1450
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

a.[x] A list of materials for consideration per Rule 98(a)(1): 5 page(s)

b.[X] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
81 item(s)

c.[] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

[] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

a.[X] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.

b.[] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:

i.[] Certification per Rule 97(e); OR

ii[] Filing Fee per Rule 17(p)\$180.00

c.[] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:

i. Certification per Rule 97(e); AND

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3.[] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

a.[] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR

b.[] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: _____ Signature: _____
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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

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By: STEPHEN E. BONDURA

Reg. No: 35,070

Signature: Stephen E. Bondura

Date: JULY 21, 2004



(Rev. 5/92) Information Disclosure Statement List By Applicants Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-730 (19566)	Serial Number 10 748,691
	Applicant IVAN B. SCHROEDT ET AL.	
	Filing Date: 12/30/03 Confirmation No.: 1999	Group Art Unit: 3627

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
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 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
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- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS															
EXAMINER INITIALS		PATENTEE NAME				PATENT NUMBER				ISSUE DATE		COPY NOTE			
		CATAN				6	4	9	1	2	1	7	12/10/2002	5	
		GRABAU ET AL.				6	4	5	1	1	5	4	09/17/2002	5	
		FIODELISI				6	4	3	5	4	0	7	08/20/2002	5	
		MALONEY				6	4	0	7	6	6	5	06/18/2002	5	
		OGASAWARA				6	3	8	6	4	5	0	05/14/2002	5	
		MON				6	3	5	4	4	9	3	03/12/2002	5	
		BRADY ET AL.				6	2	4	9	2	2	7	06/19/2001	5	
		VALENCIA ET AL.				5	3	8	0	9	9	1	01/10/1995	5	
		BIANCO				5	0	4	7	6	1	4	09/10/1991	5	
		POWELL				5	7	2	7	1	5	3	03/10/1998	5	
		BOWERS ET AL.				6	1	9	5	0	0	6	02/27/2001	5	
		MALONEY				6	7	0	7	3	8	1	03/16/2004	5	
		PATTERSON ET AL.				6	7	0	7	3	7	6	03/16/2004	5	
		ANDERS ET AL.				4	6	5	6	4	6	3	04/07/1987	5	
		RASMUSSEN ET AL.				5	1	6	4	7	0	7	11/17/1992	5	
		BARNES ET AL.				6	2	1	8	9	7	9	4/17/01	5	
		FULLERTON ET AL.				6	1	7	7	9	0	3	1/23/01	5	
		FULLERTON				5	8	3	2	0	3	5	11/3/98	5	
		FULLERTON				5	6	8	7	1	6	9	11/11/97	5	
		FULLERTON ET AL.				5	6	7	7	9	2	7	10/14/97	5	
		McEWAN				5	3	6	1	0	7	0	11/1/94	5	
		BOWERS ET AL.				6	6	9	3	5	3	9	2/17/04	5	
		SEAL				6	6	9	3	5	1	1	2/17/04	5	
		TUTTLE				6	0	3	7	8	7	9	3/14/00	5	
		HALPERIN ET AL.				6	2	2	6	6	1	9	5/1/01	5	
		FLICK				6	4	2	9	7	6	8	8/6/02	5	
		JANNING ET AL.				6	4	4	6	0	4	9	9/3/02	5	
		D'HONT				5	9	5	5	9	6	9	9/21/99	5	
		OFF ET AL.				5	1	7	3	8	5	1	12/22/92	5	
		TONE ET AL.				5	5	9	6	4	9	3	1/21/97	5	
FOREIGN PATENT DOCUMENTS															
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER							PUBLICATION DATE	TRANSLATION			COPY NOTE	
											YES	NO	N/A		
		PCT	0	0	6	5	5	3	2	A1	11/02/2002	X			
		PCT	0	2	0	8	0	0	6	0 A1	10/10/2002	X			
		PCT	0	2	4	8	9	5	5	A1	06/20/2002	X			

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	PCT	0	2	1	5	0	7	3	A1	02/21/2002	X			
	PCT	0	1	6	9	4	2	9	A2	09/20/2001	X			
	PCT	0	0	6	8	8	5	1	/	11/16/00	X			
	GERMANY	2	9	7	1	4	9	9	9	11/20/97		X		
	GERMANY	1	9	7	4	2	1	2	6	3/25/99	X			

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
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	ULTRA WIDEBAND: THE ULTIMATE DISRUPTIVE TECHNOLOGY	WWW.ULTRAWIDEBANDPLANET.COM - 6/11/02 - INT MEDIA GROUP	
	U.S. APPROVES ULTRA-WIDEBAND TECHNOLOGY	WWW.WIRELESSNEWSFACTOR.COM - 2/15/02	
	NEW PUBLIC SAFETY APPLICATIONS AND BROADBAND INTERNET ACCESS AMONG USES ENVISIONED BY FCC AUTHORIZATION OF ULTRA- WIDEBAND TECHNOLOGY	FEDERAL COMMUNICATIONS COMMISSION - 2/14/02	
	ULTRA WIDEBAND - SEARCHNETWORKING.COM	COPYRIGHTED 2000- 2002 - TECHTARGET	
	INTERNET ARTICLE - WWW.TIMEDOMAIN.COM -PulSON TECHNOLOGY CAPABILITIES	9/3/02	
	WHITE PAPER - INTEGRATION OF AUTO-ID TAGGING SYSTEM WITH HOLONIC MANUFACTURING SYSTEMS - CAMBRIDGE UNIVERSITY AUTO-ID CENTER	PUBLISHED - SEPTEMBER 1, 2001	
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	INTERNET ARTICLE - SMART TAGS INDICATE FRESHNESS - COURSES.CHE.UMN.EDU	9/4/02	
	INTERNET ARTICLE - WWW.AIMGLOBAL.ORG - PHARMACEUTICAL DISTRIBUTOR CUTS DAY OF SAFETY STOCK	8/29/02	
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	Filing Date: 12/30/03 Confirmation No.: 1999	Group Art Unit: 3627

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	RFID JOURNAL - INTERNET ARTICLE - <u>WWW.RFIDJOURNAL.COM</u> - AUTO-ID CENTER OPENS DEMONSTRATION LAB IN THE U.K.	9/4/02	
	INTERNET ARTICLE - <u>WWW.AUTOIDCENTER.ORG</u> - TRANSMITTING ePC CODES	8/26/02	
	INTERNET ARTICLE - <u>WWW.ELECTRONICIDINC.COM</u> - DESTRON-FEARING ELECTRONIC ID BACKGROUND	9/4/02	
	INTERNET ARTICLE - DESTRONFEARING.COM - APPLICATIONS-COMPANION ANIMALS	9/4/02	
	INTERNET ARTICLE - AUDFS.ENG.AUBURN.EDU - AUBURN UNIVERSITY - DETECTION & FOOD SAFETY CENTER	9/4/02	
	INTERNET ARTICLE - SFGATE.COM - SHOPS TRY CHIPS FOR TRACKING EVERY MOVE BY CLIENT 'TRIBE' MONITORING SYSTEMS NOTE WHAT CATCHES CUSTOMERS' EYES	8/6/02	
	INTERNET ARTICLE - <u>WWW.AIMGLOBAL.ORG</u> - RADIO FREQUENCY IDENTIFICATION - RFID A BASIC PRIMER	9/28/99	
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	FORBES MAGAZINE - THE INTERNET OF THINGS	3/18/02	
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	WIRELESS HANDHELDS - BEAM UP SOME INFORMATION, SCOTTY, CONTROL ENGINEERING	MAY, 2002	
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(Rev. 5/92) Information Disclosure Statement List By Applicants Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-730 (19566)	Serial Number 16 748,691
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	Popular Science, What's New, Suzanne Kantira Kirschner, We've heard hypersonic sound. It could change everything. Exemplary applications of hypersonic technology are illustrated at <a href="http://www.popsci.com/popsci/hometech/article/0,
12543,351353,00.html">www.popsci.com/popsci/hometech/article/0, 12543,351353,00.html .		
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	Wincor Nixdorf, Member of METRO Group, Future Store Initiative, "Store Vision - High-Tech for the Future in Retail," Wincor Vision 5/2003. Available at <a href="http://www.wincor-
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	U.S. PATENT APPLICATION PUBLICATION NO. 2003/0036957	FEBRUARY 20, 2003	
	U.S. PATENT APPLICATION PUBLICATION NO. 2002/0152119	OCTOBER 17, 2002	
	U.S. PATENT APPLICATION PUBLICATION NO. 2002/00777906	JUNE 20, 2002	
	U.S. PATENT APPLICATION PUBLICATION NO. 2002/0040321	APRIL 4, 2002	

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	U.S. PATENT APPLICATION SERIAL NO. 10/748,763	FILED DECEMBER 30, 2003	
	U.S. PATENT APPLICATION SERIAL NO. 10/748,118	FILED DECEMBER 30, 2003	
	U.S. PATENT APPLICATION SERIAL NO. 10/748,455	FILED DECEMBER 30, 2003	
EXAMINER		DATE CONSIDERED	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.			